

# **Hypergeometric Zeta Functions**

Hieu D. Nguyen  
Rowan University

Joint Mathematics Meeting  
Atlanta, GA  
1-5-05

# Euler-Riemann Zeta Function

$$\zeta(s) \equiv \sum_{n=1}^{\infty} \frac{1}{n^s} \quad \text{Re}(s) > 1$$

**Theorem** (Riemann, 1859)

$$\zeta(s) = \frac{1}{\Gamma(s)} \int_0^\infty \frac{x^{s-1}}{e^x - 1} dx \quad \text{Re}(s) > 1$$

---

Gamma Function (Euler)

$$\Gamma(s) \equiv \int_0^\infty x^{s-1} e^{-x} dx = \int_0^\infty \frac{x^{s-1}}{e^x} dx$$

# Hypergeometric Zeta Function

$$\zeta_2(s) \equiv \frac{1}{\Gamma(s+1)} \int_0^\infty \frac{x^s}{e^x - 1 - x} dx$$

## Lemma

(a)  $\zeta_2(s)$  converges for  $\operatorname{Re}(s) > 1$

(b)  $\zeta_2(s) = \sum_{n=1}^{\infty} U(s+1, s+1+n, n)$  (Hypergeometric Zeta Function)

---

Confluent Hypergeometric Function of the Second Kind:

$$U(a, b, z) = \frac{1}{\Gamma(a)} \int_0^\infty x^{a-1} (1+x)^{b-a-1} e^{-zx} dx$$

Proof of (b):

1. Express integrand as a binomial series:

$$\frac{1}{e^x - 1 - x} = \frac{e^{-x}}{1 - e^{-x}(1+x)} = e^{-x} \sum_{n=0}^{\infty} [e^{-x}(1+x)]^n = \sum_{n=1}^{\infty} e^{-nx}(1+x)^{n-1}$$

2. Reverse order of integration and summation (uniform convergence):

$$\begin{aligned}\zeta_2(s) &= \frac{1}{\Gamma(s+1)} \int_0^\infty \frac{x^s}{e^x - 1 - x} dx \\ &= \frac{1}{\Gamma(s+1)} \int_0^\infty x^s \sum_{n=1}^{\infty} e^{-nx}(1+x)^{n-1} dx \\ &= \sum_{n=1}^{\infty} \frac{1}{\Gamma(s+1)} \int_0^\infty x^s (1+x)^{n-1} e^{-nx} dx \quad (*) \\ &= \sum_{n=1}^{\infty} U(s+1, s+1+n, n)\end{aligned}$$

# Theorem

$$\zeta_2(s) = \sum_{n=1}^{\infty} \frac{\mu(s, n)}{n^{s+1}}$$

where

$$\mu(s, n) \equiv \sum_{k=0}^{n-1} \binom{n-1}{k} \frac{(s+1)_k}{n^k}$$

Proof:

1. Express integrand in (\*) as a binomial series:

$$\begin{aligned} x^s (1+x)^{n-1} e^{-nx} &= x^s \left[ \sum_{k=0}^{n-1} \binom{n-1}{k} x^k \right] e^{-nx} \\ &= \sum_{k=0}^{n-1} \binom{n-1}{k} x^{s+k} e^{-nx} \end{aligned}$$

2. Evaluate integral using the substitution  $nx \rightarrow x$

$$\begin{aligned}
\zeta_2(s) &= \sum_{n=1}^{\infty} \frac{1}{\Gamma(s+1)} \int_0^{\infty} \sum_{k=0}^{n-1} \binom{n-1}{k} x^{s+k} e^{-nx} dx \\
&= \sum_{n=1}^{\infty} \frac{1}{\Gamma(s+1)} \sum_{k=0}^{n-1} \binom{n-1}{k} \frac{1}{n^{s+k+1}} \int_0^{\infty} x^{s+k} e^{-x} dx \\
&= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \binom{n-1}{k} \frac{1}{n^{s+k+1}} \frac{\Gamma(s+k+1)}{\Gamma(s+1)} \\
&= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \binom{n-1}{k} \frac{(s+1)(s+2)\cdots(s+k)}{n^{s+k+1}} \\
&= \sum_{n=1}^{\infty} \frac{1}{n^{s+1}} \sum_{k=0}^{n-1} \binom{n-1}{k} \frac{(s+1)_k}{n^k} \\
&= \sum_{n=1}^{\infty} \frac{\mu(s, n)}{n^{s+1}}
\end{aligned}$$

## Lemma

$$\mu(1, n) = n$$

Or equivalently,

$$\sum_{k=0}^{n-1} \binom{n-1}{k} \frac{(2)_k}{n^k} = n$$

Expression for  $n = 4$ :

$$1 + (4-1)\frac{2}{4} + \frac{(4-1)(4-2)}{2} \frac{2 \cdot 3}{4^2} + \frac{(4-1)(4-2)(4-3)}{2 \cdot 3} \frac{2 \cdot 3 \cdot 4}{4^3} = 4$$

## Corollary

$$\zeta_2(s) > \zeta(s) \quad \text{Re}(s) > 1$$

Proof:

$$\zeta_2(s) = \sum_{n=1}^{\infty} \frac{\mu(s, n)}{n^{s+1}} > \sum_{n=1}^{\infty} \frac{\mu(1, n)}{n^{s+1}} = \sum_{n=1}^{\infty} \frac{n}{n^{s+1}} = \sum_{n=1}^{\infty} \frac{1}{n^s} = \zeta(s)$$

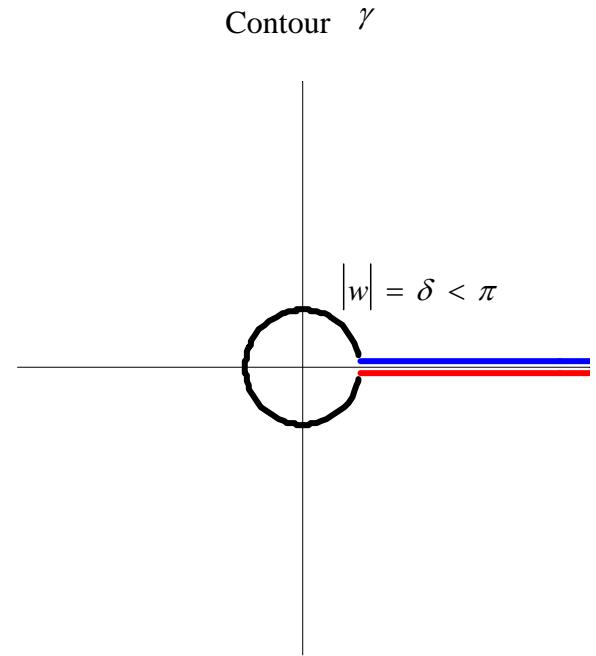
# Analytic Continuation

## Contour Integration

$$I(z) \equiv \int_{\gamma} (e^w - 1)^{-1} (-w)^z \frac{dw}{w}$$

### Theorem (Riemann)

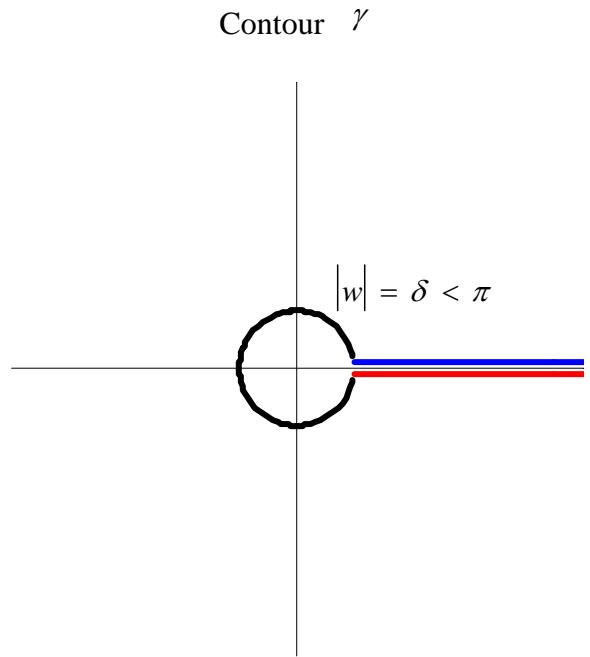
- (a)  $I(z)$  is analytic for all  $z$
- (b)  $I(k) = 0$   $(k = 2, 3, 4, \dots)$
- (c)  $\zeta(z) = \frac{\Gamma(1-z)}{2\pi i} I(z)$



Note: Part (c) gives the analytic continuation of zeta to all  $z \neq 1$

Hypergeometric version:

$$I_2(z) \equiv \int_{\gamma} (e^w - 1 - x)^{-1} (-w)^{z+1} \frac{dw}{w}$$



## Theorem

- (a)  $I_2(z)$  is analytic for all  $z$
- (b)  $I_2(k) = 0$   $(k = 2, 3, 4, \dots)$
- (c)  $\zeta_2(z) = \frac{\Gamma(-z)}{2\pi i} I_2(z)$

Note: Part (c) gives the analytic continuation of zeta to all  $z \neq 0, 1$

# Bernoulli Numbers and Trivial Zeros of Zeta

## Theorem (Riemann)

$$\zeta(-n) = (-1)^n \frac{B_{n+1}}{n+1} \quad (n=1,2,3,\dots)$$

Proof:

$$(i) \quad \zeta(-n) = \frac{\Gamma(1+n)}{2\pi i} I(-n) = \frac{n!}{2\pi i} I(-n)$$

$$\begin{aligned} (ii) \quad I(-n) &= \oint_{|w|=\delta} \left( \frac{w}{e^w - 1} \right) (-w)^{-n-2} dw \\ &= (-1)^n \oint_{|w|=\delta} \left( \sum_{m=0}^{\infty} \frac{B_m}{m!} w^m \right) \frac{dw}{w^{n+2}} \\ &= (-1)^n \frac{2\pi i}{(n+1)!} B_{n+1} \end{aligned}$$

**Corollary** (Trivial Zeros of Zeta):

$$\zeta(-2n) = 0$$

---

Bernoulli Numbers:

$$\begin{aligned}\frac{w}{e^w - 1} &= \sum_{m=0}^{\infty} \frac{B_m}{m!} w^m \\ &= 1 - \frac{1}{2}w + \frac{1}{12}w^2 - \frac{1}{72}w^4 + \frac{1}{30240}w^6 - \dots\end{aligned}$$

$$B_0 = 1 \qquad \qquad B_1 = -1/2$$

$$B_2 = 1/6 \qquad \qquad B_3 = 0$$

$$B_4 = -1/30 \qquad \qquad B_5 = 0$$

$$B_6 = 1/42 \qquad \qquad B_7 = 0$$

## Hypergeometric version

### Theorem

$$\zeta_2(-n) = (-1)^{n-1} \frac{2}{n(n+1)} A_{n+1} \quad (n=1,2,3,\dots)$$

Note: No trivial zeros in this case!

---

Howard (Generalized Bernoulli) Numbers:

$$\begin{aligned} \frac{w^2/2}{e^w - 1 - x} &= \sum_{m=0}^{\infty} \frac{A_m}{m!} w^m \\ &= 1 - \frac{1}{3}w + \frac{1}{36}w^2 + \frac{1}{540}w^3 - \frac{1}{6480}w^4 - \frac{1}{27216}w^5 + \dots \end{aligned}$$

$$\begin{array}{ll} A_0 = 1 & A_1 = -1/3 \\ A_2 = 1/18 & A_3 = 1/90 \\ A_4 = -1/270 & A_5 = -5/1134 \end{array}$$

# Functional Equation

## Lemma (Riemann)

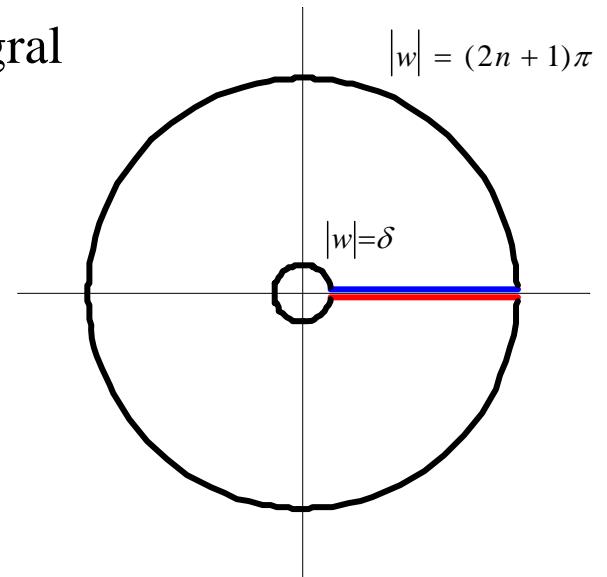
$$\frac{I(z)}{2\pi i} = 2(2\pi)^{z-1} \sin\left(\frac{\pi z}{2}\right) \zeta(1-z)$$

Proof:

1. Consider the ‘truncated’ contour integral

$$I(n, z) = \int_{\gamma_n} (e^w - 1)^{-1} \frac{(-w)^z}{w} dw$$

Contour  $\gamma_n$

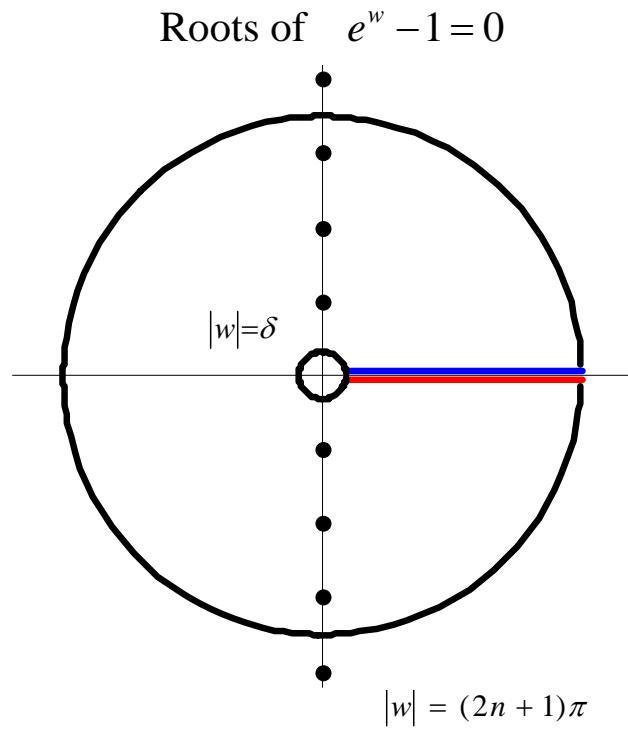


2. Evaluate the integral using residues:

$$\begin{aligned}
 \frac{I(n, z)}{2\pi i} &= \sum_{\substack{k=-n \\ k \neq 0}}^n \operatorname{Res}\left(\left(e^w - 1\right)^{-1} \frac{(-w)^z}{w}, w = 2\pi ik\right) \\
 &= -\sum_{k=1}^n \left[ (2\pi ik)^{z-1} + (-2\pi ik)^{z-1} \right] \\
 &= 2(2\pi)^{z-1} \sin\left(\frac{\pi z}{2}\right) \sum_{k=1}^n k^{z-1}
 \end{aligned}$$

3. Let  $n \rightarrow \infty$

$$\begin{aligned}
 \frac{I(z)}{2\pi i} &= \lim_{n \rightarrow \infty} \frac{I(n, z)}{2\pi i} \\
 &= 2(2\pi)^{z-1} \sin\left(\frac{\pi z}{2}\right) \zeta(1-z)
 \end{aligned}$$



**Theorem** (Euler-Riemann Functional Equation)

$$\zeta(z) = 2(2\pi)^{z-1} \sin\left(\frac{\pi z}{2}\right) \Gamma(1-z) \zeta(1-z)$$

**Corollary** (Euler)

$$\zeta(2n) = \frac{(2\pi)^{2n} B_{2n}}{2(2n)!}$$

Hypergeometric version:

## Lemma

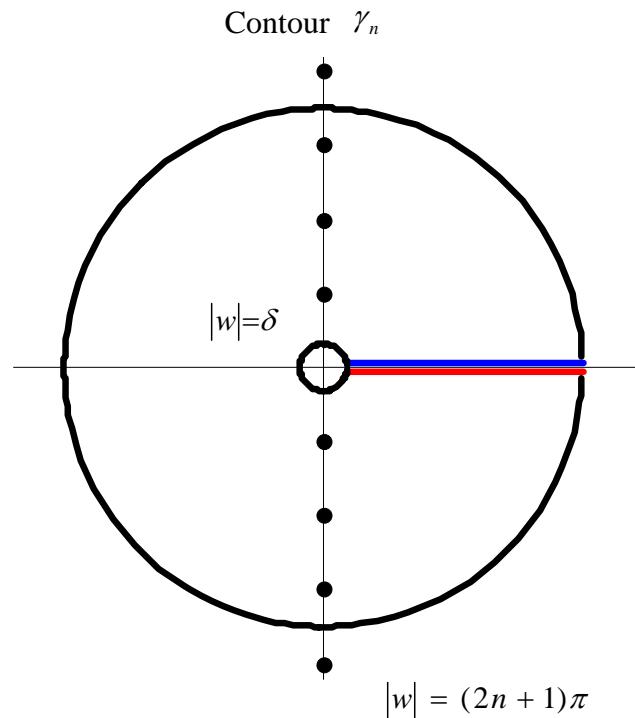
$$\frac{I_2(z)}{2\pi i} = 2(-1)^{z+1} \sum_{k=1}^{\infty} r_k^{z-1} \cos[(z-1)\theta_k]$$

where  $w_k = r_k e^{i\theta_k}$  are the roots of  $e^w - 1 - x = 0$

Proof:

1. Consider the ‘truncated’ contour integral

$$I_2(n, z) \equiv \int_{\gamma_n} (e^w - 1 - x)^{-1} \frac{(-w)^{z+1}}{w} dw$$



2. Evaluate the integral using residues:

$$\begin{aligned}
 \frac{I_2(n, z)}{2\pi i} &= \sum_{\substack{k=-n \\ k \neq 0}}^n \operatorname{Res} \left( (e^w - 1 - x)^{-1} \frac{(-w)^{z+1}}{w}, w = w_k \right) \\
 &= (-1)^{z+1} \sum_{k=1}^n \left[ (w_k)^{z-1} + (\bar{w}_k)^{z-1} \right] \\
 &= 2(-1)^{z+1} \sum_{k=1}^n r_k^{z-1} \cos[(z-1)\theta_k], \quad w_k = r_k e^{i\theta_k}
 \end{aligned}$$

3. Let  $n \rightarrow \infty$

$$\begin{aligned}
 \frac{I_2(z)}{2\pi i} &= \lim_{n \rightarrow \infty} \frac{I_2(n, z)}{2\pi i} \\
 &= 2(-1)^{z+1} \sum_{k=1}^{\infty} r_k^{z-1} \cos[(z-1)\theta_k]
 \end{aligned}$$

**Theorem** For  $\operatorname{Re}(z) < 0$ ,

$$\zeta_2(z) = 2(-1)^{z+1} \Gamma(-z) \sum_{k=1}^{\infty} r_k^{z-1} \cos[(z-1)\theta_k]$$

**Corollary** For  $\operatorname{Re}(z) < 0$ ,

$$|\zeta_2(z)| < 2(2\pi)^{\operatorname{Re}(z)-1} e^{\operatorname{Im}(s)\cdot\pi/2} |\Gamma(-z)| \zeta(1 - \operatorname{Re}(z))$$

---

Complex roots of  $e^w - 1 - w = 0$ :

$$w_n = a_n + b_n i \quad w_k = r_k e^{i\theta_k} \quad w_1 \approx 2.09 + 7.46i$$

**Lemma (Howard)**

$$(2n + 1/4)\pi < b_n < (2n + 1/2)\pi$$

# Generalization to Higher-Orders

(Joint work with Abdul Hassen)

$N^{\text{th}}$ -Order Hypergeometric Zeta Functions

$$\zeta_N(s) \equiv \frac{1}{\Gamma(s + N - 1)} \int_0^\infty \frac{x^{s+N-2}}{e^x - T_{N-1}(x)} dx$$

where

$$T_N(x) = \sum_{k=0}^N \frac{x^k}{k!} = 1 + x + \frac{x^2}{2!} + \dots + \frac{x^N}{N!}$$

Note: Many of the results discussed earlier generalize to  $N^{\text{th}}$ -order hypergeometric zeta functions.

# Open Problems

1. Do hypergeometric zeta functions satisfy a functional equation? The answer will require understanding the roots of  $e^w - 1 - w = 0$ .
2. Do hypergeometric zeta functions have nontrivial zeros? If so, locate them.
3. Find efficient numerical algorithms for evaluating hypergeometric zeta functions. Poor estimate for  $\zeta_2(2)$ :

$$2.33 < \zeta_2(2) = \sum_{n=1}^{\infty} \frac{\mu(2, n)}{n^2} < 2.51$$

Compare with  $\zeta(2) = \sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi^2}{6} \approx 1.6449$

## References

1. Howard, F. T., *Numbers Generated by the Reciprocal of  $e^x - 1 - x$* , Mathematics of Computation **31** (1977), No. 138, 581-598.
2. Peterson, B. E., Riemann Zeta Function, Personal Notes, 1996.  
Available at: <http://oregonstate.edu/~peterseb/notes/docs/zeta.pdf>
3. Riemann, B., On the Number of Prime Numbers less than a Given Quantity, Monatsberichte der Berliner Akademie, 1859. Available at: <http://www.maths.tcd.ie/pub/HistMath/People/Riemann/Zeta/EZeta.pdf>
4. Titchmarsh, E. C., The Theory of the Riemann Zeta Function, Oxford, 1967.