



ECE 09468/09568

Discrete Event Systems

Lecture 3: **Tree –A Special Graph**

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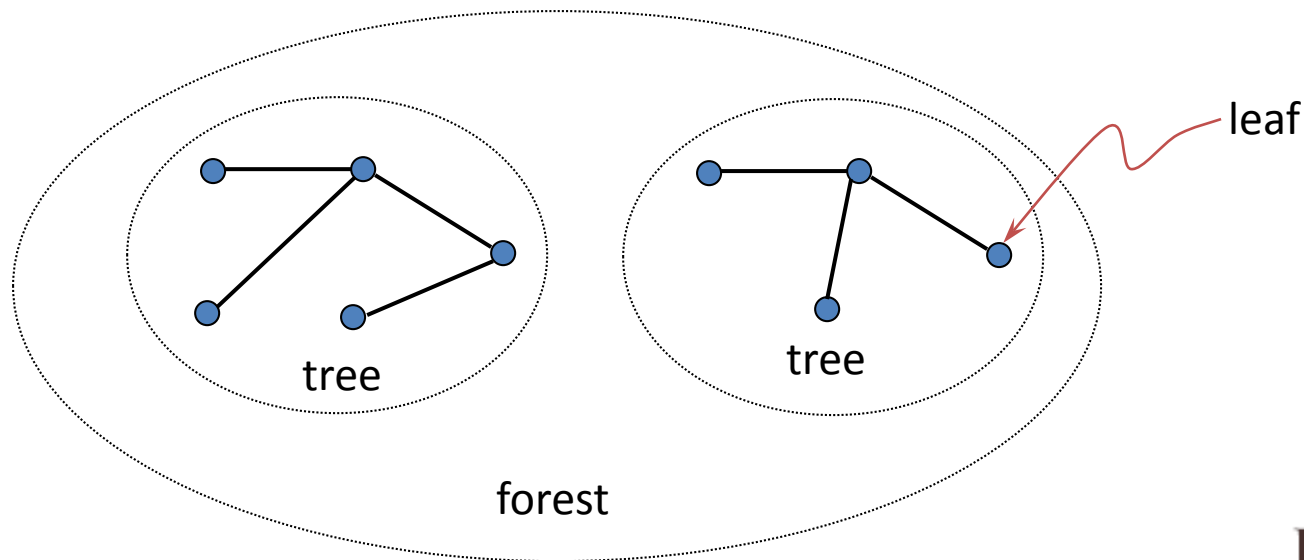
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Definition of a Tree

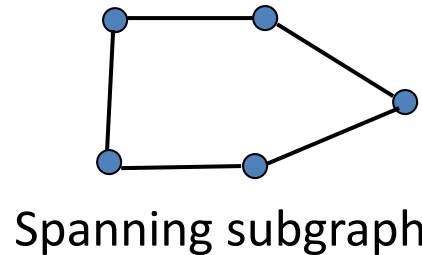
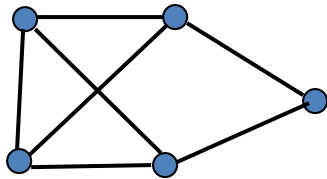
What is a tree?

- A **tree** is an **acyclic connected graph** in which any two vertices are connected by a **simple path**.
- A **leaf** is a vertex of **degree 1**
- The **forest** is an **acyclic graph** (i.e., a **disjoint union** of trees)

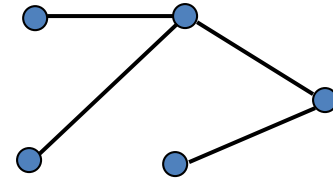


Special Trees

- A **spanning subgraph** of G is a subgraph that has the same vertex set as G .
- A **spanning tree** is a spanning subgraph that is a tree



Spanning subgraph

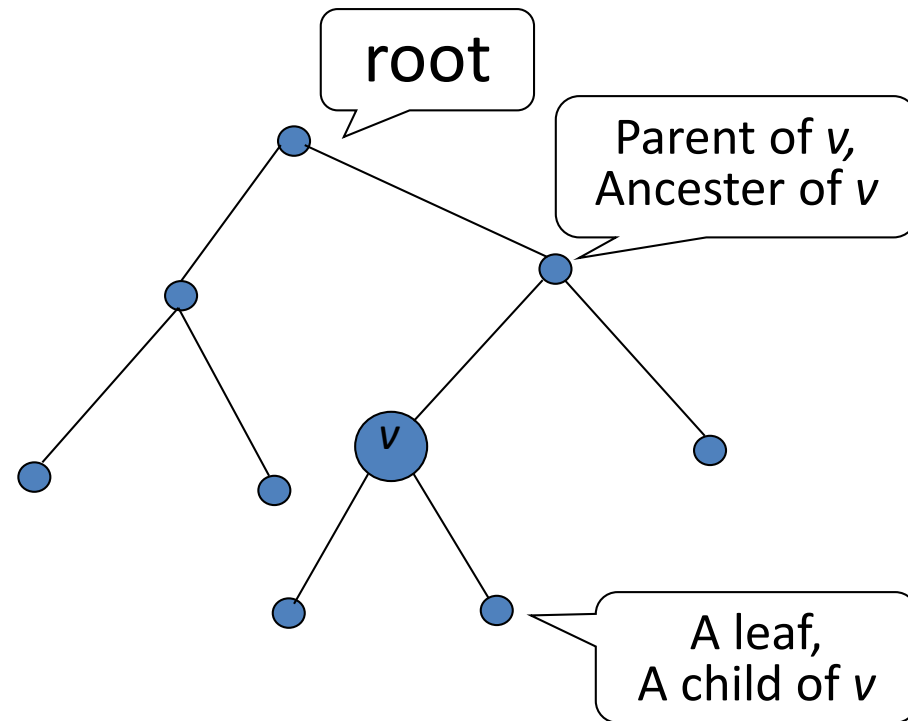


Spanning tree

- Two methods to systematically create a spanning tree
 - **Cutting-down**: choosing any cycle in G , remove one of the cycle's edges, and repeat the process until no cycles are left
 - **Building-up**: select edges of G one at a time in such a way that no cycles are created, and repeat it until all nodes are included

Special Trees

- A **rooted tree** is a tree with one node being chosen as a root, in which case the edges have a natural orientation away from the root
- The **parent** of a vertex in a rooted tree is the vertex connected to it on the **path to the root**.
- A **child** of a vertex v in a rooted tree is a vertex of which v is the parent
- A **leaf** is a vertex without children

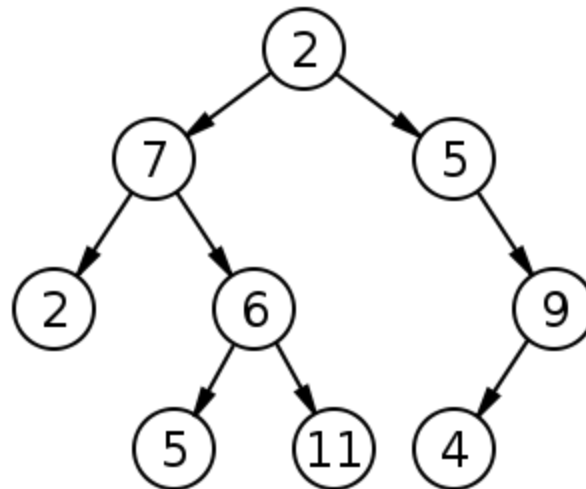


Special Trees

- For a **rooted tree**:
 - **Depth of a node**: the length of the path from the root to the node
 - **Height of a tree**: the length of the path from the root to the deepest node in the tree
 - **Siblings**: nodes that share the same parent
 - **Ancestor** of a node v_i : a node v_j that exists on the path from v_i to the root. The node v_i is then a **descendant** of v_j
 - **Size of a node**: the number of descendant the node has including itself

Special Trees

- A **binary tree** is a **tree** where each vertex has at most two children, and each child of a vertex is designated as its **left child** or **right child**
- The subtrees rooted at the children of the root are the **left subtree** and the **right subtree** of the tree



Algorithms for Trees and Graphs

Minimal Spanning Tree Problem

Input: Given a weighted graph $G = (V_G, E_G)$ and a starting vertex v . The weight of each edge (i, j) , e_{ij} , is w_{ij} and $w_{ij} = \infty$ if there is no edge connecting vertices i and j

Idea: Maintain a tree T including v at the beginning and the total weight of all the edges in T is minimized

Applications: phone network design – a company with several branches at different locations would like to lease phone lines to connect them up with the minimal lease fees.

Algorithms for Trees and Graphs

Minimal Spanning Tree – Prim's Algorithm

Initialization: Set $T = (V_T, E_T)$, where $V_T = \{v\}$ and $E_T = \emptyset$.

Iteration:

– Select a vertex u outside V_T such that

$$w_{xu} = \min_{x \in T, u \notin T} w_{xu}$$

– Add u to V_T and add (x, u) to E_T

– The iteration continues until $V_T = V_G$.

Algorithms for Trees and Graphs

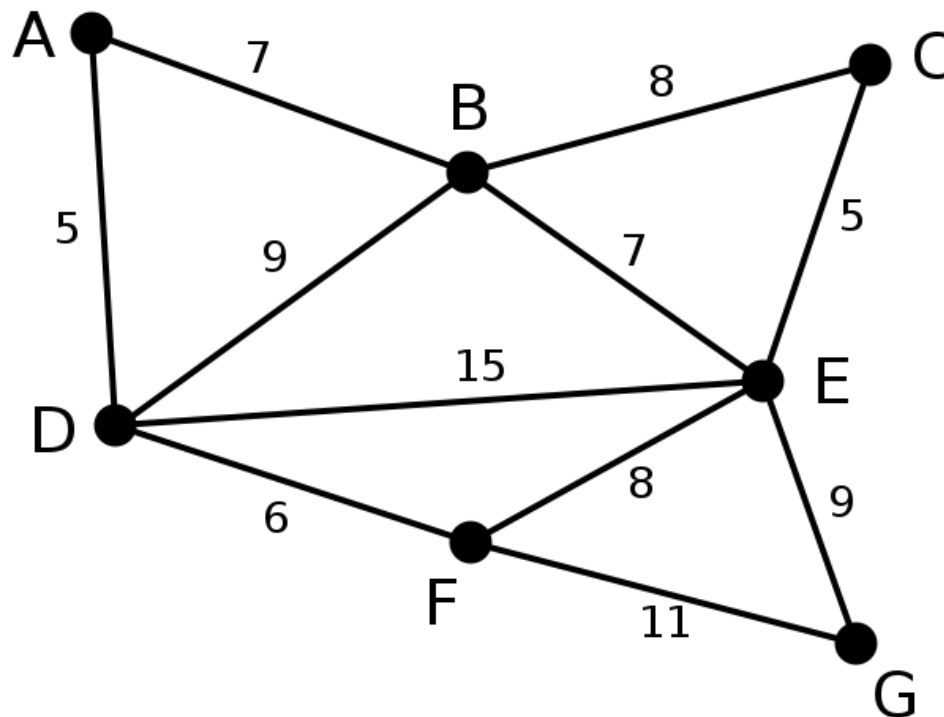
Minimal Spanning Tree – Kruskal's Algorithm

- Find the cheapest edge in G (if there is more than one, pick one at random). Mark it with a special color
- Find the cheapest unmarked edge in G that **does not** close a **colored circuit**. Mark this edge.
- Repeat Step 2 until every node of G is reached out

Algorithms for Trees and Graphs

Minimal Spanning Tree Example:

- Try **Prim's** and **Kruskal's** algorithms individually



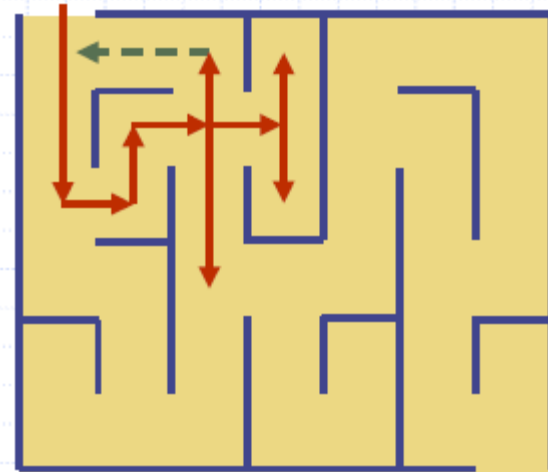
Algorithms for Trees and Graphs

Tree/Graph Traversal –Depth First Search (DFS)

Input: An unweighted graph (or digraph) and a starting vertex u .

Idea: Maintain a set R of edges that have been **discovered** but a set S of edges that are **back edges**

Applications: Find solutions to a maze;
Find strong components of a given graph...



Algorithms for Trees and Graphs

Tree/Graph Traversal –Depth First Search:

- Initialize all vertices in G

```
for  $v := 1$  to  $n$  do
     $mark[v] := unvisited$ ;
for  $v := 1$  to  $n$  do
    if  $mark[v] = unvisited$  then
         $dfs(v)$ 
```

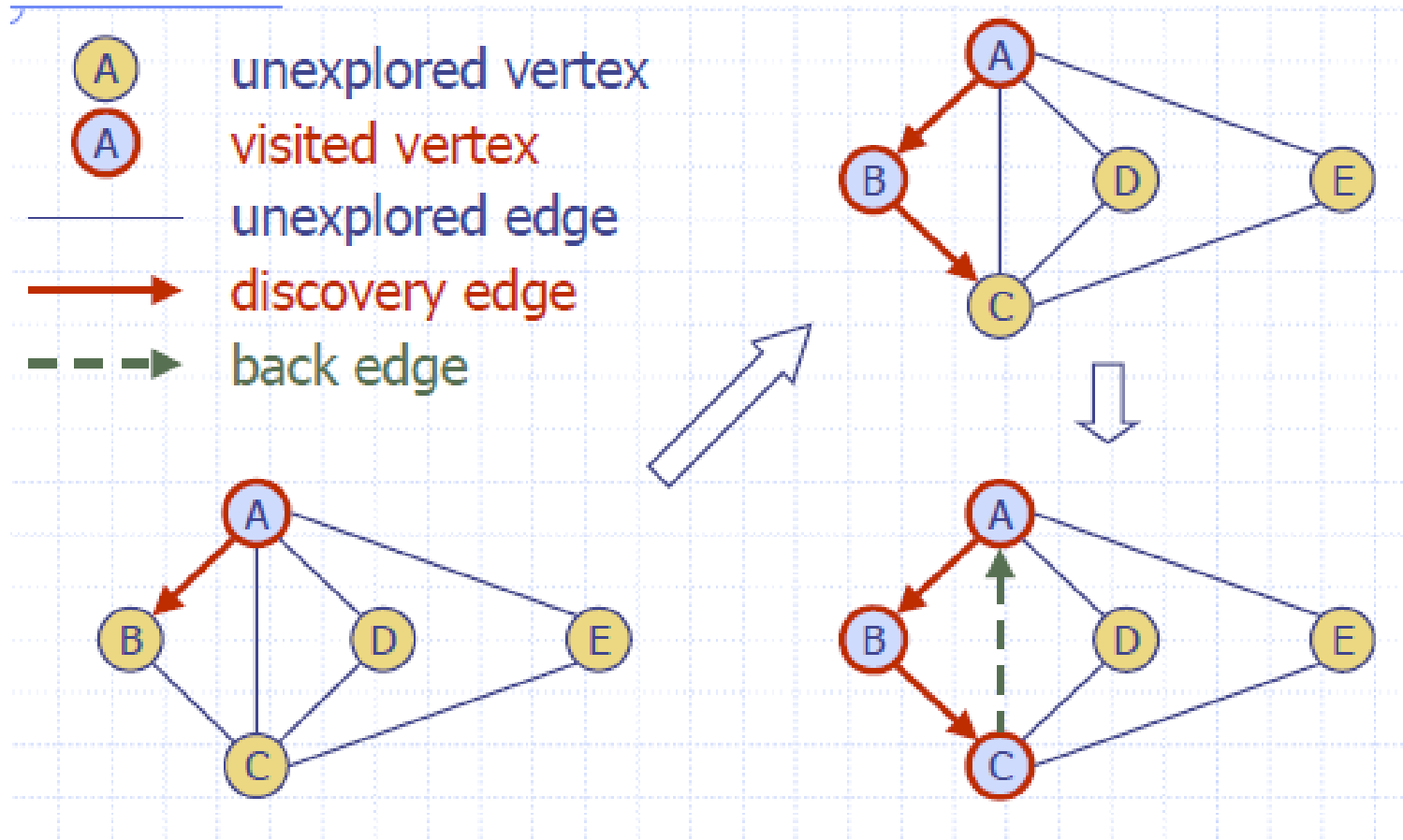
- Run DFS

```
procedure  $dfs ( v: vertex );$ 
    var
         $w: vertex$ ;
    begin
(1)          $mark[v] := visited$ ;
(2)         for each vertex  $w$  on  $L[v]$  do
(3)             if  $mark[w] = unvisited$  then
(4)                  $dfs(w)$ 
    end; {  $dfs$  }
```

Note that : $L(v)$ is an adjacency set of vertex v .

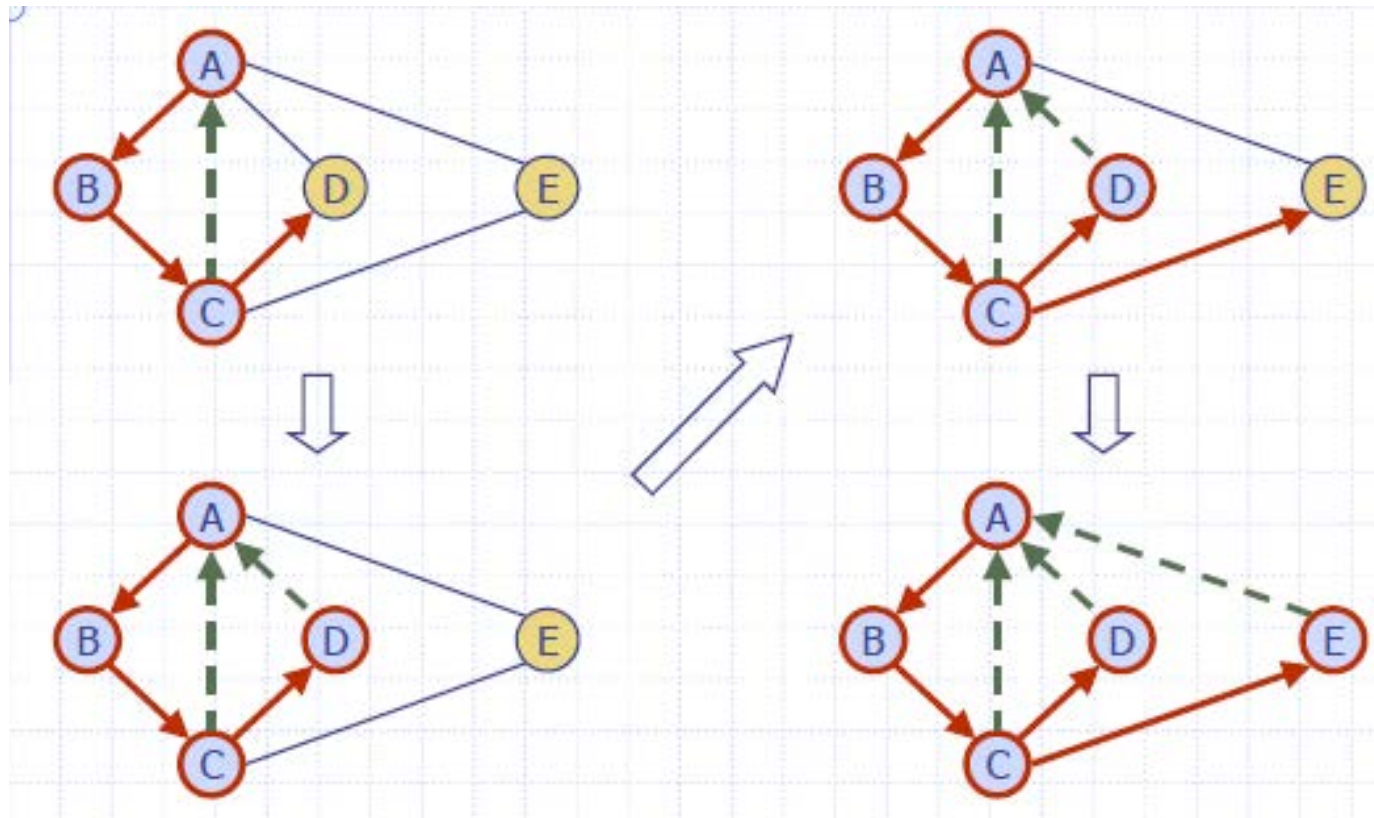
Algorithms for Trees and Graphs

Tree/Graph Traversal –Depth First Search:



Algorithms for Trees and Graphs

Tree/Graph Traversal –Depth First Search:



Algorithms for Trees and Graphs

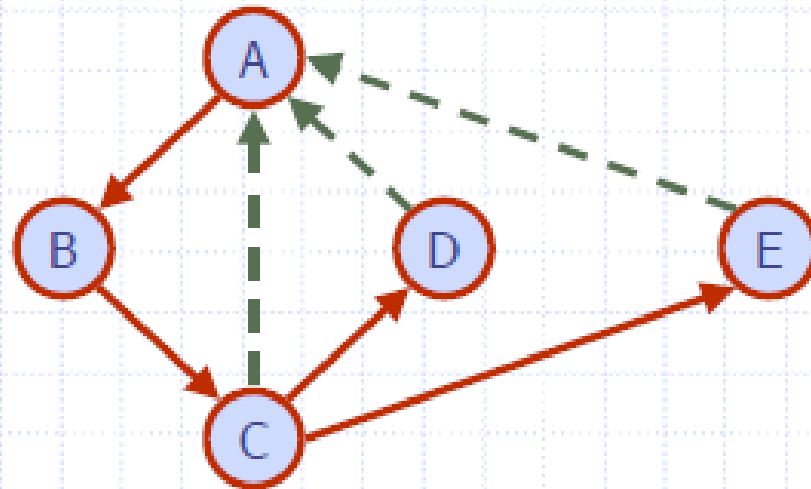
Properties of DFS:

Property 1

$DFS(G, v)$ visits all the vertices and edges in the connected component of v

Property 2

The discovery edges labeled by $DFS(G, v)$ form a spanning tree of the connected component of v



Algorithms for Trees and Graphs

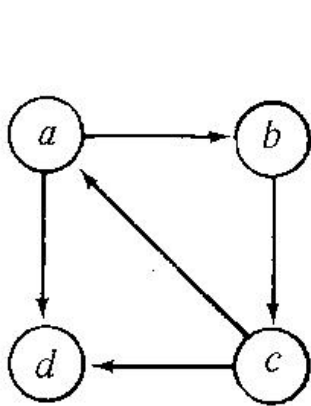
Applications of DFS:

- Find **strong components** of a given digraph G
 - Perform a DFS on G and number the vertices in order of completion of the recursive calls (i.e., assign a number to vertex v after line (4) of dfs procedure)
 - Construct a new directed graph G' by reversing the direction of every edge in G
 - Perform DFS on G' , starting the search from the highest-numbered vertex according to the numbering assigned at Step 1. If the DFS does not reach all vertices, start the next DFS from the highest-numbered remaining vertex
 - Each tree in the resulting spanning forest is a strong component of G

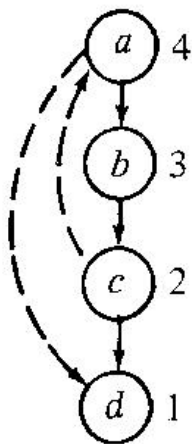
Algorithms for Trees and Graphs

Applications of DFS:

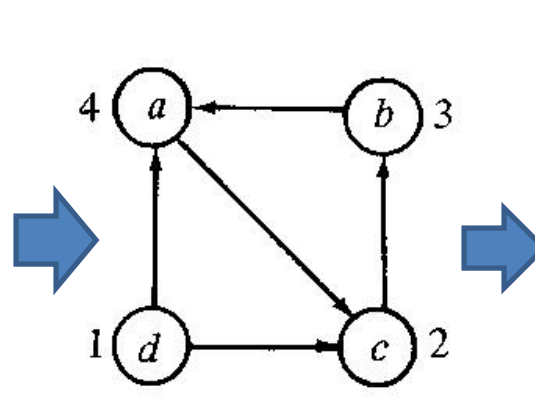
- Find **strong components** of a given digraph G



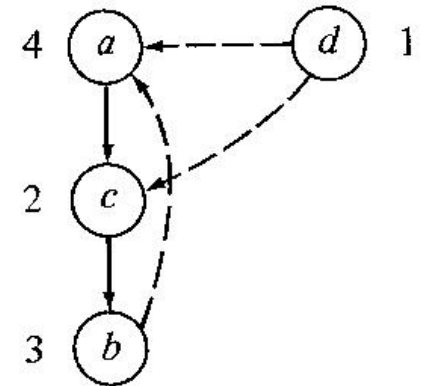
Original graph G



DFS on G



Graph G'



DFS spanning forest

Tree Algorithms

Applications of DFS:

- Find **a path** of a given two vertices v, u in the graph G
- Find **a cycle** of a given two vertices v, u in the graph G
- ...

Algorithms for Trees and Graphs

Tree/Graph Traversal – Breadth First Search (BFS)

Input: An unweighted graph (or digraph) and a starting vertex s .

Idea: Maintain a set R of vertices that have been **reached** but not **searched** and a set S of vertices that have been **searched**. The set R is maintained as a **First-In First-Out list** (queue), so the first vertices found are the first vertices explored

Algorithms for Trees and Graphs

Tree/Graph Traversal –BFS

Initialization:

$R=\{s\}$, $S=\emptyset$, $d(s)=0$, and $d(v)=\infty$, for $\forall v \in V$ and $v \neq s$

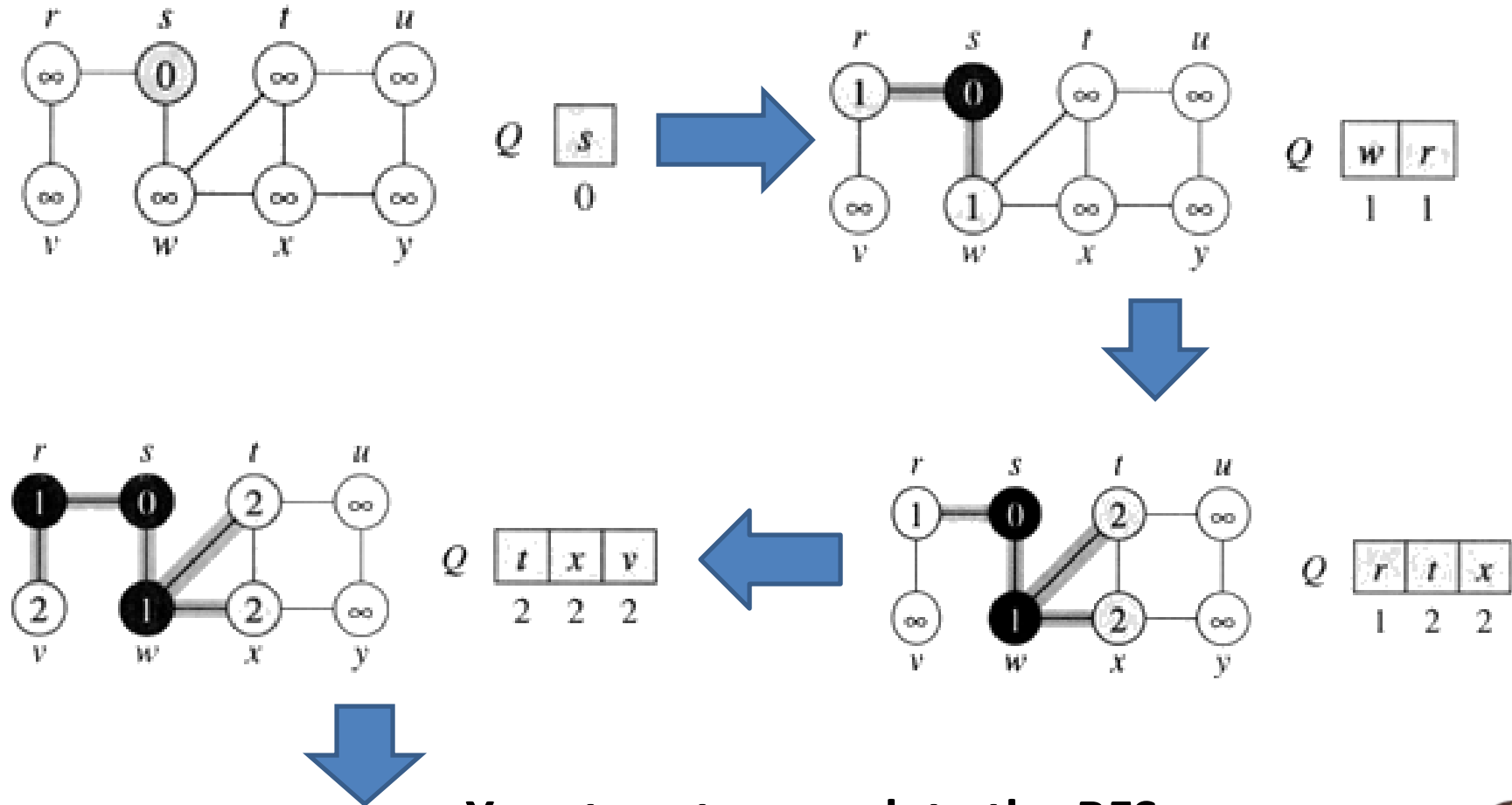
Iteration:

1. As long as $R \neq \emptyset$, we search from the first vertex u of R
2. The neighbors of u not in $S \cup R$ are added to the back of R and assigned distance $d(u)+1$
3. Then u is removed from the front of R and placed in S

$d(v)$ contains the **number of tree edges** on the path from s to v

Algorithms for Trees and Graphs

Tree/Graph Traversal – BFS



Your turn to complete the BFS...